

Dear Client! The completion of this questionnaire is required by the Financial and Capital Market Commission's regulations and the General Conditions of "Swedbank" AS, which stipulate that the bank must perform due diligence on the business of their customers. Please be assured that this information will be kept confidential and that the bank will not disclose it to any third party. Thank you for your cooperation!

1. Information about the Customer and the Beneficial Owner

Customer (forename, surname)

Identification number, date of birth

Passport details (series, No, issuing country)

Permanent residence address

Phone, fax, e-mail

I myself am the beneficial owner ¹ (natural person, who actually owns the funds, or the person, in whose favour the transactions are conducted).

The beneficial owner is (specify: full name, identity number, and the beneficial owner's relation with the customer)

Are you a politically significant person? ²

yes

no

¹ Beneficial owner – natural person, who (directly or indirectly) owns or who (directly or indirectly) controls the client (other legal or other natural person), or in whose interests the payment is made.

² A politically exposed person is a natural person, who:

- a) is entrusted with one of the following prominent public functions in a foreign country: the head of the state, a member of the parliament, the head of the government, a minister, a deputy minister or an assistant minister, a state secretary, a judge of the supreme court, a judge of the constitutional court, a board or a council member of the court of auditors, a member of the council or of the board of a central bank, an ambassador, a chargé d'affaires, a high-ranking officer of the armed forces, a member of the council or of the board of a state-owned capital company, as well as a person who has resigned from the position of a prominent public function within one year;
- b) is a parent, a spouse and a person equivalent to a spouse, a child, his/her spouse or a person equivalent to a spouse of the persons referred to in Paragraph a) hereof. A person shall be treated as equivalent to a spouse provided that the laws of the respective country contain a provision for such status.

2. Sources of Beneficial Owner's Income (specify)

salaried employee (specify the employer):

self-employed person (a person, who conducts business and is registered as a self-employed person) (please specify taxpayer reg. No, the form of business and main co-operation partners):

company owner/co-owner (specify company's name, and what share of equity is owned):

By my signature I confirm the validity of information provided above and I undertake to notify the bank of any material change in the information disclosed in this questionnaire.

Client's signature:

Date:

landlord (specify the address or the cadastre number of the property):
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.....

- unemployed
- student
- pensioner
- other (please specify):
.....

Total regular monthly income, LVL (after tax):

- < 500
- 500 – 3000
- > 3000

Please specify your current and expected annual income, incl. from one-off transactions in the account:

real estate deals (address or cadastre No. of property sold, transaction value)
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.....

selling of automobile or other movable assets (specify)
.....
.....

inheritance (provide the inheritance certificate)
 gift (provide the agreement or specify the person who gave it, specify the value of the gift)
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selling of shares (provide the names of companies)
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.....

- dividends
- transactions in listed securities
- loans from other credit institutions
- loans from individuals or businesses (provide the agreement or specify the lender and the transaction value)
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intermediation services (specify the type)
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.....

other additional income (specify the type)
.....

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Client's signature:	Date:
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3. Information about Expected Transactions

Expected average monthly turnover in bank accounts:

incoming payments (specify the amount)	LVL
outgoing payments (specify the amount)	LVL

Monthly cash turnover:

cash deposits (specify the amount)	LVL
cash withdrawals (specify the amount)	LVL

Justification for cash deposits/cash withdrawals if the amount exceeds LVL 5,000 a month:

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Approximate number of transactions a month (cash transactions, money transfers):

- up to 50
- from 50 to 100
- more than 100

Expected maximum amount of one transaction	LVL
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For Non-resident Customers only

What is the purpose of your visit to Latvia?

- work in Latvia
- business partners located in Latvia
- other (please specify)

Why do you require an account with "Swedbank" AS?

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Do you hold any current accounts with other Latvian commercial banks? Specify which.

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Client's signature:

Date:

